

		(iii) For arriving at the 'executed value', basic amount only shall be considered. In case contract is inclusive of taxes, applicant should provide the break-up of basic value and taxes. (iv) The executed value of only those BOQ Items shall be considered which are pertaining exclusively to "Deployment of manpower". (v) The word "Executed" means the applicant should have achieved the criteria specified in the qualifying requirements within the preceding Five (5) year period even if the contract has been started earlier and/or is not completed/ closed.
7.	Financial Qualifying Requirement	7.1 The average annual turnover of the Applicant, in the preceding three (3) financial years as on the date of submission of application, shall not be less than Rs. 20 Lakhs (Rupees Twenty Lakhs only). 7.2 In case the Applicant does not satisfy the financial criteria, stipulated at Cl. No. 7.1 above on its own, its Holding Company would be required to meet the stipulated turnover requirements at Cl. No. 7.1 above, provided that the Net Worth of such Holding Company as on the last day of the preceding financial year is at least equal to or more than the paid-up share capital of the Holding Company. In such an event, the Applicant would be required to furnish along with its Application, a Letter of Undertaking from the Holding Company, supported by the Holding Company's Board Resolution, as per the format enclosed in the Bid Documents, pledging unconditional & irrevocable financial support for the execution of the contract by the Applicant in case of award. 7.3 In case the Applicant is not able to furnish its audited financial statements on stand-alone entity basis, the unaudited unconsolidated financial statements of the Applicant can be considered acceptable provided the

	cost estimate 137.5 L i.e. eligibility limit for enlistment up to cost estimate 137.5 L
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Upon completion of the enlistment process, the enlisted vendor(s) shall be considered for execution of the value of work from **Rs. 20 Lacs. to 4 Crs** under a future tender as per their qualification established during the process of enlistment.

Notes for Execution capability

1. For calculating the execution capability, the 'Executed Value' of only those BOQ Items shall be considered which are pertaining exclusively to "Deployment of manpower" meeting the requirement of 6.1 or 6.2 or both 6.1 & 6.2.
2. For Calculating execution capability, work orders of Job Contract for Area Cleaning/ House-keeping/ Sanitation/ Grass cutting/ Area Upkeeping/ Drain cleaning/ Pit Cleaning shall also be considered irrespective of Unit of Measurement
3. The word "Executed" means the applicant should have achieved the criteria specified in the qualifying requirements within the preceding Five (5) year period even if the contract has been started earlier and/or is not completed/ closed.
4. 'Executed Value' means basic value (excluding taxes).

Notes for Execution capability

1. For calculating the execution capability, the 'Executed Value' of only those BOQ Items shall be considered which are pertaining exclusively to "Deployment of manpower".
2. For Calculating execution capability, work orders of Job Contract for Area Cleaning/ House-keeping/ Sanitation/ Grass cutting/ Area Upkeeping/ Drain cleaning/ Pit Cleaning shall also be considered irrespective of Unit of Measurement.

		<table border="1"> <tr> <td>c</td><td>For Mixed proposals having manpower deployment (with UoM as MD/MME) as well as some portion as Job Contract other than mentioned in b(i) above.</td><td>Applicable only when estimate of line items having manpower deployment contributes more than 95% of total Estimate.</td></tr> </table>	c	For Mixed proposals having manpower deployment (with UoM as MD/MME) as well as some portion as Job Contract other than mentioned in b(i) above.	Applicable only when estimate of line items having manpower deployment contributes more than 95% of total Estimate.
c	For Mixed proposals having manpower deployment (with UoM as MD/MME) as well as some portion as Job Contract other than mentioned in b(i) above.	Applicable only when estimate of line items having manpower deployment contributes more than 95% of total Estimate.			
6.	Technical Qualifying requirements	6.1 <u>Technical Criteria for Qualification under</u> 6.1.1 The applicant should have executed contract(s) for 'Deployment of Manpower' in preceding five (5) years from the last date of submission of application. AND 6.1.2 The applicant should have executed contract(s) for deployment of 'Specialized Manpower', with executed value of deployment of 'Specialized Manpower' work not less than Rs. 16 Lacs in a single (1) contract in the preceding Five (5) years from the last date of submission of application. Notes for 6.1: (i) For 6.1.1, Reference Work Order(s) for deployment of any type of manpower i.e. Unskilled/ Semi-skilled/ Skilled / Highly Skilled or Higher category can be submitted. Reference work order(s) submitted by applicant may also include deployment of Specialized Manpower.			

- Manpower for office assistance including attendants, data entry operators and manpower required by various departments of U AND M
- Drivers and helpers for operation of various equipment, HMT and other vehicles excluding Dozer, Payloader, Hydra, JCB, Crane, EOT, Excavators, Manlifts.
- Manpower for housekeeping & cleaning in plant, township, hospital etc.
- Manpower in MGR (except specialized manpower mentioned in '1A' & '1B' above)
- Manpower for co-ordination/ liaisoning works.
- Manpower for maintenance of records
- Engagement of secretarial assistance.

Note : *-Applicability of Manpower Enlistment w.r.t type of proposals (Manpower deployment/ Job contracts/ Mixed proposals)

S No	Type of contract	Applicability
a	Exclusive Manpower deployment contracts	Applicable when UoM in proposal is Man-days (MD)/ Man-months (MME) only)
b	Job Contracts	(i) Applicable for Job contracts for Area Cleaning/ House-keeping/ Sanitation/ Grass cutting/ Area Upkeeping/ Drain cleaning/ Pit Cleaning. (ii) Not Applicable For any other type of Job contracts

Applicant further furnishes the following documents for substantiation of its qualification:

- Copies of the unaudited unconsolidated financial statements of the Applicant along with copies of the audited consolidated financial statements of the Holding Company.
- A certificate from the CEO/CFO of the Holding Company, as per the format enclosed in the bidding documents, stating that the unaudited unconsolidated financial statements form part of the Consolidated Annual Financial Statements of the Holding Company

7.4 In cases where audited results for the last financial year as on the date of submission of application opening are not available, the financial results certified by a practicing Chartered Accountant shall be considered acceptable. In case, Applicant is not able to submit the certificate from practicing Chartered Accountant certifying its financial parameters, the audited results for the three (03) consecutive financial years preceding the last financial year shall be considered for evaluating the financial parameters. Further, a certificate would be required from CEO/CFO as per the format enclosed in the bidding document stating that the financial results of the company are under audit as on the date of submission of application and the certificate from the practicing Chartered Accountant certifying financial parameters is not available.

7.5 Notes for Clause 7.0 above:

1. Net worth means the sum total of the paid-up share capital and free reserves. Free reserve means all reserves credited out of the profits and share premium account but does not include reserves credited out of the revaluation of the assets, write back of depreciation provision and amalgamation. Further any debit balance of Profit and Loss account and miscellaneous expenses to the extent not adjusted or written off, if any, shall be

U AND M

1	TITLE	DETAILS
2	Brief description of CEG	U AND M Packages having scope of works of deployment of manpower (which also includes deployment of 'Specialized/ expert manpower' as defined at '1A' and '1B' below) for assistance in U AND M Stations/Projects/Sites/ Offices U AND M U AND M Packages having scope of works of deployment of manpower (which only includes deployment of manpower as defined at '2A' and '2B' below) and contracts pertaining to House-keeping/ Sanitation/ Area Cleaning/ Grass cutting/ Area Upkeeping/ Drain cleaning/ Pit Cleaning at U AND M Stations/Projects/Sites/ Offices
3		
4		
5	<i>Note: The detail scope of work & other Terms & conditions would be specified separately in the Tender</i>	Packages having scope of works of deployment of manpower (which also includes deployment of 'Specialized/ expert manpower' as defined at '1A' and '1B' below) for assistance in U AND M Stations/Projects/Sites/ Offices.

- Safety Stewards/ Safety Supervisors/Safety Engineer/Safety Auditor.
- Paramedical Staff/ Doctors/ Technicians related to Health Services.
- Loco-pilot/ Assistant loco-pilots/ retired Railway staff/ Station Master/ Shunt men/ Pointsmen deployed in Merry Go Round (MGR)/ Railway System.
- Drivers/ Operators for Dozer, Payloader, Hydra, JCB, Crane, EOT, Excavators, Manlifts

6.2 Technical Criteria for Qualification

6.2.1 The applicant should have executed contract(s) for '**Deployment of Manpower**' in preceding Five (5) years from the last date of submission of application.

Notes for 6.2:

- Reference Work Order(s) for deployment of any type of manpower i.e. **Unskilled/ Semi-skilled/ Skilled / Highly Skilled or Higher category** can be submitted. Reference work order(s) submitted by applicant may also include deployment of Specialized Manpower.
- For the purpose of qualification, Reference work orders of Job Contract for Area Cleaning/ House-keeping/ Sanitation/ Grass cutting/ Area Upkeeping/ Drain cleaning/ Pit Cleaning shall also be considered.

Common Notes for 6.1 & 6.2 above:

- Applicants meeting Criteria 6.1 above, shall be Enlisted for both with same Execution Capability (EC) & AATO.
- Applicants meeting criteria 6.2 only, shall be enlisted

- (ii) For the purpose of qualification under 6.1.1 above, Reference work orders of Job Contract for Area Cleaning/ House-keeping/ Sanitation/ Grass cutting/ Area Upkeeping/ Drain cleaning/ Pit Cleaning shall also be considered.
- (iii) Applicant can submit same or different reference works in support of their claim to comply qualification criteria 6.1.1 & 6.1.2
- (iv) 'Specialized Manpower' (as mentioned in 6.1.2 above) shall mean deployment under following:

A. Deployment of 'Highly Skilled'/'or Higher category' (having wage higher than HSW) in at least one or more of the following Areas:

- Manpower for Supervision/ Operation/ Commissioning/Erection/Maintenance related activities in any industry/ Plant.
- Manpower for Supervision/ Monitoring of R&R and CSR activities.
- Manpower for CW treatment/ Chemical Handling/ Sampling and testing in Coal sampling/ Chemistry lab.
- Engagement of Legal assistant/ Lawyers.
- Engagement of Ex-PSU/ Ex- Govt. staff from Supervisory/ executive/ Officer cadre
- Engagement of Draftsmen.
- Engagement of IT professionals.
- Engagement of Engineers having degree in Engineering.
- Deployment of technical staff/ lab technicians in Quality department
- Engagement of Teachers/ Academic Faculty/ Consultants.

B. Deployment of following specialized manpower in one or more of the following areas irrespective of the category:

reduced from reserves and surplus.

2. Other income shall not be considered for arriving at annual turnover figures.

3. “Holding Company” and “Subsidiary Company” shall have the meaning ascribed to them as per Companies Act of India

**8 Execution Capability
Criteria for
Enlistment**

The **minimum threshold limit for Execution Capability (EC) and Average Annual Turnover (AATO)** of Enlisted Vendors shall be **Rs.20,00,000 (Rs. Twenty Lakhs Only)**.

If any applicant does not submit the required documents so that their Execution Capability/ AATO equals or exceeds 20,00,000 (Rs. Twenty Lakhs Only), such applicant shall not be considered for enlistment even if they meet the qualifying Requirement (QR) of subject Enlistment.

Execution Capability of the vendor to execute similar work shall be calculated based on the values of three POs of highest execution value submitted by vendor as defined in the following table with an example:

Capability of execution of similar work as per PO values	PO-1	PO-2	PO-3
PO values	70	60	55
Execution Capability as per One/two/three POs	70x1.25=87.5 (E.C. up to 87.5 L)	60x2=120 (E.C. up to 120 L)	55x2.5=137.5 (E.C. up to 137.5 L)
Execution capability of the vendor to execute similar work	137.5 (Highest of 87.5, 120 and 137.5) Vendor is capable to execute work up to		

		parameters. Further, a certificate would be required from the CEO/CFO stating that the financial results are under audit as on date of application and certificate from the practicing chartered certifying the financial parameters are not available. ii. NSIC / SSI / MSME registration certificate and PF & GST/Service Tax registration certificate. iv. PAN, PF and GST registration certificates v. Any other documents in addition to the above which the applicant wants to submit to get enlisted. vi. U AND M can ask other documents as necessary during evaluation.
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S No	Type of contract	Applicability of MP-02
a	Exclusive Manpower deployment contracts	Applicable when UoM in proposal is Man-days (MD)/ Man-months (MME) only)
b	Job contracts	Not applicable for any type of Job contract
c	For Mixed proposals having manpower deployment (with UoM as MD/MME) as well as some portion as Job Contract	Applicable only when estimate of line items having manpower deployment contributes more than 95% of total Estimate.

2.0 (Rs. 20 Lacs upto Rs. 4 Crs)

Packages having scope of works of **deployment of manpower** (which only includes deployment of manpower as defined at '2A' and '2B' below) and job contracts such as **House-keeping/ Sanitation/ Area Cleaning/ Grass cutting/ Area Upkeeping/ Drain cleaning/ Pit Cleaning** at U AND M Stations/Projects/Sites/ Offices

2A. Deployment of **Unskilled/ Semi-skilled/ Skilled manpower** for assistance in various areas (except Specialized manpower mentioned at '1B' above)

2B. Deployment of ***HSW manpower** for assistance in following areas of various U AND M Stations/Projects/Sites/ Offices:

		3. The word “Executed” means the applicant should have achieved the criteria specified in the qualifying requirements within the preceding Five (5) year period even if the contract has been started earlier and/or is not completed/ closed. 4. ‘Executed Value’ means basic value (excluding taxes).
9	Selection of enlisted vendors for issuing tender enquiry	For a particular tender, eligible vendors shall be selected from the “list of enlisted vendors” considering the following as detailed in the enlistment document: 1.Execution capability based on technical criteria. 2.Average Annual Turnover of three(3) years.
10	Documents to be submitted	Documents required in support of meeting Technical Qualifying Requirements: Relevant PO copy and Client’s Completion Certificate/RA Bill/Final Deviation Statement. In addition to the documents required in support of meeting Technical Qualifying Requirements as stated above, following documents are required to be submitted by the Applicants applying for enlistment: i. POs with BOQ of the highest Executed Values of Manpower Deployment during previous five years from the date of application and Copy of Completion Certificate /RA Bill/Final Deviation Statement from the concerned client in support of successful execution of work against each of the POs to be submitted. ii. Audited balance sheet including Profit & Loss statement for the previous three completed financial years reckoned from the date of application. In case the audited results for the preceding financial year is not available, certification of financial statements from a practicing-chartered accountant is to be uploaded. In case, applicant is not able to submit the certificate from practicing chartered accountant certifying its financial parameters, the audited results of the three consecutive financial years preceding the last financial years shall be considered for evaluating the financial

against the specific requirements

1A. Deployment of 'Highly Skilled'/'or Higher category' (having wage higher than HSW) in at least one or more of the following Areas:

- Manpower for Supervision/ Operation/ Commissioning/Erection/Maintenance related activities.
- Manpower for Supervision/ Monitoring of R&R and CSR activities.
- Manpower for CW treatment/ Chemical Handling/ Sampling and testing in Coal sampling/ Chemistry lab
- Engagement of Legal assistant/ Lawyers.
- Engagement of Ex-PSU/ Ex- Govt. staff from Supervisory/ executive/ Officer cadre
- Engagement of Draftsmen.
- Engagement of IT professionals.
- Engagement of Engineers having degree in Engineering.
- Deployment of technical staff/ lab technicians in FQA.

1B. Deployment of Specialized manpower in one or more of the following areas irrespective of the category:

- Safety Stewards/ Safety Supervisors/Safety Engineer/Safety Auditor.
- Paramedical Staff/ Doctors/ Technicians related to Health Services.
- Loco-pilot/ Assistant loco-pilots/ retired Railway staff/ Station Master/ Shunt men/ Pointsmen deployed in Merry Go Round (MGR)/ Railway System.
- Drivers/ Operators for Dozer, Payloader, Hydra, JCB, Crane, EOT, Excavators, Manlifts

Note : Applicability of Manpower Enlistment (MP-02) w.r.t type of proposals (Manpower deployment/ Job contracts/ Mixed proposals)